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POLYMETHINE COMPOUNDS, METHOD OF PRODUCING SAME, AND USE THEREOF

Inventors: Shigeo Fujita, Osaka; Nobuaki Sasaki, Kyoto; Yasuhisa Iwasaki, Nara, all of

(73) Assignce: Yamamoto Chemicals, Inc., Osaka (JP)

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430/944, 945; 101/453, 467; 8/659, 690

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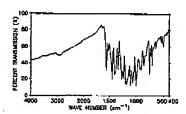
Primary Exuminer-Hoa Van Lo Assistant Examiner-Barbara Gilmore (74) Attorney, Agent, or Firm-Birch, Stewart, Kolasch & Birch, LLP

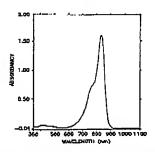
(57)ABSTRACT

The invention provides near infrared absorbers showing high light-to-heat conversion efficiency and high sensitivity to laser beams whose emission region is within the range of 750 nm to 900 nm, plates for direct printing plate making, and novel compounds which can be used in such absorbers or plates. The compounds are polymethine compounds of the general formula (I) shown below and the near infrared absorbers comprise the polymethine compounds.

In the formula, R1 represents an alkyl group, which may optionally be substituted, R2 represents a hydrogen atom or a lower alkyl group, R3 and R4 each independently represents a lower alkyl group or R3 and R4 may combinedly form a cyclic structure, L is an alkylene group which is required for the formation of a cyclic structure and may optionally be substituted, one or more carbon atoms of which cyclic structure may be replaced by some other atom(s) or atomic group(s). D and E each independently represents an oxygen atom or a methylene group, X represents a hydrogen or halogen atom or a substituted amino group, and Z represents a charge-neutralizing ion.

10 Claims, 7 Drawing Sheets





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POLYMETHINE COMPOUNDS, METHOD OF PRODUCING SAME, AND USE THEREOF

INDUSTRIAL FIELD OF APPLICATION

The present invention relates to a novel polymethine compound, a method of producing the same and a near infrared absorber comprising the same. The polymethine compound of the present invention has an absorption region in the near infrared region of 750–900 nm and can be utilized as a near infrared absorber for use in image recording utilizing laser beams, for instance, as a near infrared absorber in plate making utilizing laser beams or in producing laser heat-sensitive recording media. It can further be utilized as a spectral sensitization dye in electrophotography or silver halide photography, or a dye for optical disks, for instance.

PRIOR ART

In recent years, with the progression of laser technology, image recording systems utilizing laser beams have been explored in high-speed recording or high-density, high-image-quality recording. Thus, for example, image forming 25 systems using laser heat-sensitive recording materials or laser thermal transfer recording materials have been studied in recording systems where a laser beam is converted to heat. Furthermore, the spread of computers, the rapid progress in electronics, and improvements in digital image processing technology have formed the backdrop for attempts to develop the so-called computer-to-plate technique (CTP plate making technique), which makes printing plates directly from digital data possible.

In the system of recording images through conversion of laser beams to heat (laser thermal recording system), a light absorber appropriate to the laser wavelength is used, and the light absorbed is converted to heat to form images. However, unless the laser output is increased to a considerable extent, 40 the heat energy required for image formation cannot hardly be obtained. Therefore, the advent of a light absorber with good light-to-heat conversion efficiency is desired. In laser thermal recording materials, semiconductor lasers are generally used which have a light emitting region in the near 45 infrared region of 750 nm to 900 nm. Near infrared absorbers appropriate to such laser wavelengths generally absorb light in the visible region as well and tend to disadvantageously cause coloration of the plain ground. Thus, a near infrared absorber that absorbs less light in the visible region 50 is desired.

In the CTP plate making technology, known plate making methods are classifiable into the one comprising irradiating with a laser beam, the one comprising writing by means of a thermal head, the one comprising partially applying a voltage by means of a pin electrode, the one comprising forming an ink-repelling or ink-receiving layer with an ink jet, and so forth. Among them, the method which uses a laser beam is superior in resolution and in rate of plate making to other techniques, so that various image forming techniques have been investigated for said method.

Further, recently, small-sized, high-output inexpensive semiconductor lasers having a light emitting region in the near infrared region (750 nm to 900 nm) have become 65 readily available and are becoming useful as exposure light 2

There are two types of direct plate making utilizing laser beams, namely the photosensitive type and heat-sensitive type. As the photosensitive type plate material, there are known the electrophotographic system using an organic semiconductor (OPC), the silver salt system using a silver salt, and so on. These plate materials require a large-size and expensive apparatus for the manufacture thereof and are relatively expensive as compared with the conventional PS plates. There is also the problem of waste developer treatment.

Heat-sensitive plate materials are disadvantageous in that they are low in sensitivity as compared with the photosensitive type plate materials. Nevertheless, they have been intensively investigated since they can be handled in ordinary rooms (lighted rooms) and the corresponding apparatus are small in size and are inexpensive.

The heat-sensitive plate materials all require a light-tobeat conversion layer for converting light to heat. This light-to-heat conversion layer contains a light-to-heat conversion agent, for example a near infrared absorber. It is essential for this light-to-heat conversion agent to absorb the laser beam used and, for attaining improved sensitivity, it is necessary that the ability thereof to absorb the laser beam used and the light-to-heat conversion efficiency be higher.

The light-to-heat conversion agent includes pigment type and dye type substances. Carbon black is generally used as a pigment type substance. While various substances have been proposed as dye type ones, polymethine compounds are in general use. With carbon black, there is a wide selection of lasers to choose from. However, car bon black is generally less capable of absorbing laser beams as compared with dy e type substances, so that it is necessary to increase the amount thereof. A high-level dispersion technique is also required.

In cases where a dye type substance is used, it is necessary that it be highly capable of absorbing the laser beam used and that it be compatible with other components such as the image forming component and resin binder or soluble in the solvent employed.

Polymethine compounds have a methine chain linked by conjugated double bonds within the molecule and have absorption bands within the broad region from the visible to the near infrared region (340 to 1,400 nm) and have a high extinction coefficient at the absorption maximum. For these and other reasons, they are used in various fields, for example as photosensitive dyes for silver salt photography, photosensitive dyes for electrophotography, dyes for laser recording, or dyes for laser beam generation.

Although the polymethine compounds are high ly capable of absorbing laser beams, they are problems: matching with the laser beam to be used is necessary and known compounds are generally poor in light stability and poorly compatible with image forming substances and binder resins, among others.

A number of such polymethine compounds are already known. For instance, the compound A shown below is described in JP Kokai S63-319191 (page 3, Compound 9 as a specific example), and the compound B shown below is described in JP Kokai H02-229865 (page 6, Production

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Compound A

Compound B

However, the compounds A and B both have a maximum absorption wavelength within the range of 785 to 795 nm and are insufficiently sensitive to small-size, high-output lasers having a light emission region at 820 to 870 nm, which are currently under investigation as most likely can-25 didates for use. As compared with the compound B, the compound A has improved light stability as a result of the introduction of a ring structure into the methine chain but it has drawbacks, such as poor solubility in solvents and poor compatibility with resins and therefore the range of choice 30 of binder resin is restricted.

Accordingly, the primary object of the present invention is to provide a polymethine compound which is less absorpbeams from semiconductor lasers having light emission regions in the near infrared region (750 nm-900 nm) and is suited for use as a near infrared absorber or for use in the light-to-heat conversion layers of laser thermal recording 40 media or original plates for direct plating for printing.

SUMMARY OF THE INVENTION

As a result of investigations made in an attempt to solve 45 the problems such as mentioned above, the present inventors found that the novel polymethine compounds specified below are less absorptive in the visible region, highly sensitive to beams from semiconductor lasers having light emission regions in the near infrared region (750 nm-900 nm) and highly efficient in light-to-heat conversion and can be used as near infrared absorbers readily processible for various applications. Based on these findings, the present invention has now been completed.

In a first aspect, the present invention provides a polymethine compound represented by the general formula (I):

$$R_2H$$
 E
 R_3
 R_4
 R_4
 R_4
 R_4

wherein R, represents an alkyl group, which may optionally be substituted, R2 represents a hydrogen atom or a lower alkyl group, RD and R4 each independently represents a lower alkyl group or R3 and R4 may combinedly form a tive in the visible region of light and is highly sensitive to 35 cyclic structure, L is an alkylene group which is required for the formation of a cyclic structure and may optionally be substituted, one or more carbon atoms of which cyclic structure may be replaced by some other atom(s) or atomic group(s), D and E each independently represents an oxygen atom or a methylene group, X represents a hydrogen or halogen atom or a substituted amino group, and Z represents a charge-neutralizing ion.

> In a second aspect, the present invention provides a method of producing the above polymethine compound of general formula (I) which comprises subjecting an indolenium compound represented by the general formula (II):

$$R_2HC$$

$$E$$

$$R_3$$

$$R_4$$

$$CH_{3^*}(Z_1)_{rr}$$

$$R_1$$

wherein R₁ represents an alkyl group, which may optionally be substituted. R2 represents a hydrogen atom or a lower alkyl group, R, and R, each independently represents a lower alkyl group or R2 and R4 may combinedly form a cyclic structure, D and E each independently represents an oxygen atom or a methine group, Z1 represents a chargeneutralizing ion and n represents an integer of 0 or 1, and a diformyl compound represented by the general formula (III):

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wherein X represents a hydrogen or halogen atom or a 10 substituted amino group and L is an alkylene group which is required for the formation of a cyclic structure and may optionally be substituted, one or more carbon atoms of which cyclic structure may be replaced by some other atom(s) or atomic group(s), or a dianil compound repre- 15 sented by the general formula (IV):

wherein X re presents a hydrogen or halogen atom or a substituted amino group and L is an alkylene group which is required for the formation of a cyclic structure and may optionally be substituted, one or more carbon atoms of which cyclic structure may be replaced by some other ³⁰ atom(s) or atomic group(s), to condensation reaction in the presence of a fatty acid salt and a dehydrating organic acid.

In a third aspect, the present invention provides a near infrared absorber which comprises the polymethine compound according to the above-mentioned first aspect of the invention.

In a fourth aspect, the present invention provides an original plate for direct plating for printing comprising a light-to-heat conversion layer formed on a substrate, characterized in that said light-to-heat conversion layer contains the polymethine compound according to the above-mentioned first aspect of the invention.

In a fifth aspect, the present invention provides a method of making a printing plate which comprises irradiating the original plate for direct plating according to the abovementioned fourth aspect of the invention with a laser beam from a light source laser which has a light emission wavelength region within the range of 750 nm to 900 nm.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is an FT-IR spectrum of the polymethine compound obtained in Example 1.

FIG. 2 is an FT-IR spectrum of the polymethine compound obtained in Example 2.

FIG. 3 is an FT-IR spectrum of the polymethine compound obtained in Example 3.

FIG. 4 is an FT-IR spectrum of the polymethine compound obtained in Example 4.

FIG. 5 is an FT-IR spectrum of the polymethine compound obtained in Example 5.

FIG. 6 is an FT-IR spectrum of the polymethine compound obtained in Example 6.

FIG. 7 is a VIS-NIR absorption spectrum of the polymethine compound obtained in Example 1.

FIG. 8 is a VIS-NIR absorption spectrum of the polymethine compound obtained in Example 4 6

FIG. 9 is a VIS-NIR absorption spectrum of the polymethine compound obtained in Example 5.

FIG. 10 is a VIS-NIR absorption spectrum of the polymethine compound obtained in Example 6.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

In the following, the present invention is described in detail.

[Polymethine Compound]

First, the polymethine compound according to the first aspect of the invention which is represented by the general formula (I) shown below is described.

$$R_2HC$$

$$R_1$$

$$R_1$$

$$R_3$$

$$R_4$$

$$R_3$$

$$R_4$$

$$R_1$$

$$R_1$$

$$R_1$$

$$R_2$$

$$R_3$$

$$R_4$$

$$R_1$$

$$R_2$$

$$R_3$$

$$R_4$$

$$R_1$$

$$R_3$$

$$R_4$$

$$R_4$$

$$R_1$$

$$R_3$$

$$R_4$$

$$R_3$$

$$R_4$$

$$R_3$$

$$R_4$$

$$R_3$$

$$R_4$$

$$R_5$$

$$R_6$$

$$R_7$$

$$R_8$$

$$R_8$$

$$R_8$$

$$R_8$$

$$R_9$$

$$R_$$

wherein R_1 represents an alkyl group, which may optionally be substituted, R_2 represents a hydrogen atom or a lower alkyl group, R_3 and R_4 each independently represents a lower alkyl group or R_3 and R_4 may combinedly form a cyclic structure. L is an alkylene group which is required for the formation of a cyclic structure and may optionally be substituted, one or more carbon atoms of which cyclic structure may be replaced by some other atom(s) or atomic group(s), D and E each independently represents an oxygen atom or a methine group, X represents a hydrogen or halogen atom or a substituted amino group, and Z represents a charge-neutralizing ion.

When R₁ is an unsubstituted alkyl group, said group is preferably a straight or branched alkyl group containing 1 to 18 carbon atoms, more preferably a straight or branched alkyl group containing 1 to 8 carbon atoms. Examples are methyl, ethyl, n-propyl, isopropyl, n-buryl, isobutyl, secbutyl, n-pentyl, isopentyl, n-nentyl, isohexyl, secbexyl, 2-ethylbutyl, n-decyl, n-dodecyl, n-pentadecyl and n-octadecyl, among others.

When R, is a substituted alkyl group, said group may be an aikoxyalkyl group, a sulfoalkyl group or a carboxyalkyl group, for instance. Said alkoxyalkyl group preferably constains 2 to 8 carbon atoms. As examples, there may be mentioned 2-methoxyethyl, 3-methoxypropyl, 4-methoxybutyl, 2-ethoxyethyl, 3-ethoxypropyl, 4-ethoxybutyl, 2-n-propoxyethyl, 2-isopropoxyethyl, 3-propoxypropyl, 4-n-propoxybutyl, 2-methoxy-2-ethoxyethyl, among others.

Said sulfoalkyl group represented by R₁ is preferably a straight or branched sulfoalkyl group containing 1 to 18 carbon atoms, more preferably a straight or branched sulfoalkyl group containing 1 to 8 carbon atoms. It is preferred that at least one of these sulfoalkyl groups represented by R₁ be in the form of a salt with an alkali metal ion or an alkalimatorium ion. As examples of such sulfoalkyl groups.

there may be mentioned 2-sulfoethyl, 3-sulfopropyl, 3-sulfobutyl, 4-sulfobutyl, 4-sulfo-3-methylbutyl, 2-(3sulfopropoxy)ethyl, 2-hydroxy-3-sulfopropyl, 3-sulfo-2-(2ethoxy)ethoxypropoxy, 5-sulfopentyl, 6-sulfobexyl, 8-sulfooctyl and 6-sulfo-2-ethylhexyl, among others, and s these may be in the form of a salt with an alkali metal ion

or an alkylanımonium ion. The carboxyalkyl group represented by R, is preferably a straight or branched carboxyalkyl group containing 2 to 18 carbon atoms, more preferably a straight or branched car- 10 ethylammonium ion or tetraethylammonium ion. Particuboxyalkyl group containing 2 to 9 carbon atoms. It is preferred that at least one of these carboxyalkyl groups represented by R, be in the form of a salt with an alkali metal ion or an alkylammonium ion. As examples of such car-3-carboxypropyi, 3-carboxybutyl, 4-carboxybutyl, 4-carboxy-3-methylbutyl, 2-(3-carboxypropoxy)ethyl, ion or an alkylammonium ion,

R₂ is a hydrogen atom or a lower alkyl group and, as the lower alkyl group, there may be mentioned methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl and sec-butyl,

The lower alkyl group represented by each of R, and R, is, for example, methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl or sec-butyl,

The ring structure formed by the combination of R, and R4 together with the adjacent carbon atom is, for example, 30 a cyclopropane, cyclobutane, cyclopentane, cyclohexane or cycloheptane ring. Among them, the cyclobutane, cyclopentane and cyclohexane rings are preferred.

X is preferably a hydrogen atom, a halogen atom such as F, Cl, Br or I, or ethylamino, phenylamino or dipheny- 35 lamino. Particularly preferred are Cl, Br, diphenylamino and like substituted amino groups.

Z represents a charge-neutralizing ion and preferably is, for example, F-, Cl-, Br-, I-, BrO4-, ClO4-, benzenesulfonate. p-toluenesulfonate. naphthalenesulfonate, benzenedisulfonate, naphthalenedisulfonate, CH₃SO₃-, C₂H₅SO₃-, C₃H₇SO₃-, C₄H₉SO₃⁻, C₅H₁₁SO₃⁻, CF₃SO₃⁻, C₂F₅SO₃⁻, C₃F₇SO₃⁻, C₄F₅SO₃⁻, C₅F₁SO₃⁻, CH₅CO₂⁻, CH

C₄F₉CO₂-, C₅F₁₁CO₂-, BF₄-, PF₆-, SbF₆-, Na+, K+, trilarly preferred among these are Cl., Br., I., ClO4, BF4, CF₃CO₂, PF₆, SbF₆, CH₃SO₃, p-toluenesulfonate, Na⁺,

K+ and triethylammonium ion.

Z₁ represents a charge-neutralizing ion and preferably is. boxyalkyl group, there may be mentioned 2-carboxyethyl, 15 for example, F-, Cl-, Br-, I-, BrO₄-, ClO₄-, benzenesulfonate, p-toluenesulfonate. naphthalenesulfonate, benzenedisulfonate. 2-hydroxy-3-carboxypropyl, 3-carboxy-2-(2-ethoxy)
ethoxypropoxy, 5-carboxypentyl, 6-carboxyhexyl,
8-carboxyoctyl and 6-carboxy-2-ethylhexyl, among others, and these may be in the form of a salt with an alkali metal C₄F₉CO₂⁻, C₅F₁₁CO₂⁻, BF₄⁻, PF₆⁻, or SbF₆⁻. Particularly preferred among these are Cl, Br, I, ClO4, p-toluenesulfonate, CH3SO3", BF4", CF2CO3", PF6" and SbF₆-.

L is a substituted or unsubstituted alkylene group, preferably containing 2 to 4 carbon atoms, and forms a ring together with the three carbon atoms, namely the carbon atom bearing X and both carbon atoms adjacent thereto. L is preferably ethylene, propylene, butylene, 2-oxapropylene, 2-thiapropylene, 2-azapropylene, 2-methylpropylene or 2-tert-butylpropylene, more preferably ethylene, propylene

Preferred examples of the polymethine compound of the present invention as represented by the general formula (I) are shown below without any intention of limiting the scope of said compound.

9

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Compound (4)

Compound (10)

-continued

H₂C CH₃ CH₂ CI ^e

 $\begin{array}{c} \text{Compound (5)} \\ \text{H}_{1}C \\ \text{CH}_{3} \\ \text{CH}_{4} \\ \text{CH}_{3} \\ \text{CH}_{4} \\ \text{CH}_{5} \\ \text{CH}$

Compound (6)

H₃C CH₃

CH₃ CH₃SO₃

CH₃ CH₃SO₃

CH₃ CH₃SO₃

CH₃ CH₃SO₃

CH₃ CH₃SO₃

H₂C CH₃ H₂C CH₃ CH₃ CH₃ PF₆

H₃C CH₃ H₃C CH₃ Br^e

H₂C CH₃ CH₃ CH₃ CH₃ CH₃ CP₃CO₂⁶

H₂C CH₃ CO₄OCH₂ CO₄OCH₃ CO₄OCH₄ CO₄O

11

12

Compound (11)

-continued

H₃C CH₃ H₃C CH₃ CH₂ 1^e

Compressed (12)

H₂C CH₃

C₂H₄OCH₃

C₂H₄OCH₃

C₂H₄OCH₃

 $\begin{array}{c} \text{Compound (14)} \\ \text{H}_{3}\text{C} \\ \text{C}_{2}\text{H}_{4}\text{OCH}_{3} \\ \text{H}_{3}\text{C} \\ \end{array} \\ \begin{array}{c} \text{C}_{2}\text{H}_{4}\text{OCH}_{3} \\ \text{C}_{3}\text{C} \\ \text{C}_{2}\text{H}_{4}\text{OCH}_{3} \\ \end{array}$

 $H_2C \xrightarrow{CH_3} CH_2$ $H_3C \xrightarrow{CH_3} SO_3^{e}$

13

14

-continued

Compound (17)

Compound (18)

Compound (19)

Compound (20)

Compound (21)

Compound (22)

PAGE 9/35 * RCVD AT 7/9/2004 2:30:53 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/3 * DNIS:7466599 * CSID:703 2058050 * DURATION (mm-ss):11-56

15

16

-continued

$$H_3C \xrightarrow{CH_3} H_3C \xrightarrow{CH_3} H_3C \xrightarrow{CH_3} GH_3$$

$$H_3C \xrightarrow{C_2H_3} GH_3$$

$$G_2H_3 \xrightarrow{C_2H_3} GH_3$$

Compound (23)

$$H_2C \xrightarrow{CH_3} CH_3 \xrightarrow{H_3C} CH_3 \xrightarrow{CH_3SO_3^6}$$

Compound (24)

Compound (25)

Compound (26)

Compound (28)

$$H_3C$$
 CH_3
 H_3C
 CH_3
 H_3C
 CH_3
 CH_3
 CH_3
 CH_3
 CH_4
 CH_3
 CH_4
 CH_5
 CH_5

17

18

-continued

Compound (29)

Compound (30)

Compound (31).

Compound (32)

$$H_3C$$
 CH_3
 H_3C
 CH_3
 CH_2
 CH_3
 CH_2
 CH_3
 CH_3
 CH_2
 CH_3
 CH_3

Compound (33)

Compound (35)

19

20

-continued

Compound (37)

Compound (36)

$$H_3C \xrightarrow{CH_3} GH_3 \xrightarrow{H_3C} GH_3$$

$$D \xrightarrow{R_2C_3H_8} GH_2 \xrightarrow{CH_3SO_3} GH_2 \xrightarrow{CH_3SO_3} GH_3$$

Compound (38)

Compound (39)

Compound (40)

Compound (41)

21

22

Compound (48)

-continued

 $\begin{array}{c} \text{Compound (42)} \\ \text{H}_2\text{C} \\ \text{II}_3\text{C} \\ \text{CH}_2 \\ \text{CH}_2\text{CH}_3\text{SO}_3^{\Theta} \end{array}$

Compound (43)

H₂C

H₃C

CH₃

H₃C

CH₂

B6^B₄

n-C₆H₁₆

 $H_{2}C \xrightarrow{H_{3}C} CH_{3} \xrightarrow{H_{3}C} CH_{3} \xrightarrow{CH_{3}} CH_{2} \xrightarrow{C} CH_{3} CH_{2} CH_{3}$

H₂C CH₃ CH₃C CH₃ CH₃SO,⁶ C₂H₄OC₂H₅ C₂H₄OC₂H₅

Compound (46)

H₃C

CH₃

CH₂

C₂H₄OC₂H₅

C₃H₄OC₂H₅

H₂C CH₃ H₅C CH₃ CH₂ CF₃CO₂^o CH₂ CF₃CO₂^o

 $H_2C \xrightarrow{\text{CH}_3} \text{CH}_3 \xrightarrow{\text{CH}_3} \text{CH}_2 \text{ BF}_4^6$ $C_2H_4OC_2H_5 \xrightarrow{\text{C}_2H_4OC_2H_5}$

23

24

Compound (49)

Compound (50)

-continued

 $H_2C \longrightarrow H_3C \longrightarrow H_3C \longrightarrow H_2 \longrightarrow H$

H₂C CH₃

H₃C CH₃

O CH₂

N₂

C₃H₆SO₃

O CH₂

N₂

O CH₃

N₂

O CH₃

Compound (51)

Сотирости (52)

H₃C CH₃

Na[®]

C₃H₆CO₂[®]

C₃H₆CO₂[®]

C₃H₆CO₂[®]

Compound (53)

H₂C CH₃ CH₃ COmpound (54)

H₂C CH₃ CH₂ CIO₄ COmpound (55)

25

26

Compound (56)

-continued

Compound (57)

Compound (58)

Compound (59)

Compound (60)

$$H_2$$
 CH_3
 CH_3
 CH_3
 CH_4
 CH_5
 C

Compound (61)

$$H_2C$$

$$CH_3$$

$$C_2H_5$$

$$C_2H_5$$

$$C_2H_5$$

$$C_2H_5$$

$$C_2H_5$$

Compound (62)

$$H_{2}C$$
 $C_{2}H_{3}$
 $C_{2}H_{3}$
 $C_{2}H_{3}$
 $C_{2}H_{3}$
 $C_{3}H_{4}$

-continued

27

$$H_3C$$
 CH_3
 CH_3

Compound (64)

Compound (63)

28

Compound (65)

Compound (66)

Compound (67)

Compound (68)

$$H_3C \xrightarrow{CH_3} H_3C \xrightarrow{CH_3} H_3C \xrightarrow{CH_3} GH_2 \xrightarrow{BF_4^6}$$

Compound (69)

29

30

-continued

31

32

-continued

Compound (77)

H2C

D-C₂H₅

C₂H₅

C₃H₁₆

C₄H₁₆

C₅H₁₆

C₆H₁₆

Compound (78)

C₂H₅ C₂H₅

C₂H₅ C₂H₅

NH₁(C₂H₅)₃

C₃H₆SO₃⁶

Compound (79)

H₂CH₃ CH₄ COO₄G

C₂H₃ COO₄G

 $\mathsf{C}_2\mathsf{H}_3 \quad \mathsf{C}_2\mathsf{H}_3 \quad \mathsf{C}$

Compound (81)

H₂C CH₃

N₄C CH₃

N₄C

C₃H₄SO₃

C₃H₄SO₃

C₃H₄SO₃

Compound (81)

Compound (82) $\begin{bmatrix} H_2 \\ O \\ C_1 H_0 SO_3^O \end{bmatrix} C_2 H_0 SO_3^O \end{bmatrix} Na^{\otimes}$

33

34

-continued

 H_2C $n \cdot C_8H_{16}$ $n \cdot C_8H_{16}$ $n \cdot C_8H_{16}$ $n \cdot C_8H_{16}$

Compound (84)

Compound (83)

Compound (85)

$$\begin{bmatrix} H_{2}C & CH_{3} & H_{3}C & CH_{2} \\ H_{2}C & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & \\ & & & \\ & & \\ & & \\ & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\$$

Compound (86)

$$H_3C$$
 CH_3 H_3C CH_3 C_2H_5 C_2H_5 C_2H_5 C_2H_5

Compound (87)

Compound (88)

$$H_{2}C$$
 $C_{2}H_{4}OC_{2}H_{4}OC_{2}H_{5}$
 $C_{2}H_{4}OC_{2}H_{4}OC_{2}H_{5}$
 $C_{2}H_{4}OC_{2}H_{4}OC_{3}H_{5}$

Compound (89)

$$H_2$$
 H_3
 H_3
 H_4
 H_4
 H_5
 H_6
 H_7
 H_7
 H_7
 H_8
 H_8
 H_9
 H_9
 H_9
 H_9

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Compound (90)

Compound (96)

-continued

 $\begin{bmatrix} H_{1}C & CH_{1} & H_{1}C & CH_{2} \\ H_{2}C & H_{2} & CH_{2} & CH_{2} \\ \vdots & \vdots & \vdots & \vdots \\ C_{3}H_{6}SO_{3}^{\Theta} & C_{3}H_{6}SO_{3}^{\Theta} \end{bmatrix} K^{\Theta}$

Compound (91)

H₃C CH₃ H₃C CH₃ H₃C CH₃ 1⁶
C₂H₄OC₂H₄OC₂H₃ C₂H₄OC₂H₅ C₂H₄OC₂H₅

H₃C CH₃

H₃C CH₃

C₃H₆CO₂

C₃H₆CO₂

Compound (94)

Compound (95)

 $\begin{bmatrix} H_1 & H_2C & CH_3 & H_3C & CH_3 & H_2 \\ H_2 & C_3H_6SO_3^6 & C_3H_6SO_3^6 & G_3H_6SO_3^6 & G_3H_6SO_3^6 \end{bmatrix} N_2^6$

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-continued

Compound (98)

Compound (97)

Compound (99)

$$H_2 \subset H_2 \longrightarrow H_2$$

Among the compounds (1) to (99) given above as specific examples, those compounds which are represented by the general formula (V) shown below may be represented also by the general formula (VI) given below.

In the above formulas, R₁ to R₄, D, E, X and L are as defined above and M represents Na, K or triethylammonium. Thus, the specific compound (50), for instance, may be represented alternatively as follows:

(VI)

$$\begin{bmatrix} R_1 & R_1 & R_2 & R_3 & R_4 & R_4 & R_5 & R_6 & R_$$

65 [Method of Producing the Polymethine Compound]

The polymethine compound of the present invention is

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pound represented by the general formula (II) and a diformyl compound represented by the general formula (III) or a dianil compound represented by the general formula (IV) to condensation reaction in the presence of a fatty acid salt in a dehydrating organic acid.

$$R_2HC$$

$$E$$

$$R_3$$

$$R_4$$

$$CH_3^*(Z_1)_{ij}$$

(In the above formula, R_1 to R_4 , D, E and Z_1 are as defined above.)

(In the above formula, X and L are as defined above.)

(In the above formula, X and L are as defined above.)

In the above condensation reaction, the fatty acid salt is, for example, sodium acetate, potassium acetate, calcium acetate, sodium propionate, potassium propionate or the 40 like.

Such fatty acid salt is used generally in an amount of about 0.1 to 5 moles, preferably about 0.5 to 2 moles, per mole of the compound of general formula (III).

As the dehydrating organic acid, there may be mentioned 45 acetic anhydride, propionic anhydride, butyric anhydride, y-butyrolactone and the like.

Such dehydrating organic acid is used generally in an amount of about 10 to 100 moles, preferably about 20 to 50 moles, per mole of the compound of general formula (II).

As for the proportion of the compound of general formula (II) to the compound of general formula (III) or (IV), the latter is used generally in an amount of about 0.2 to 1.5 moles, preferably about 0.4 to 0.7 moles, per mole of the former.

The above reaction can proceed generally at about 10 to 150° C., preferably at room temperature to 120° C., and will be complete generally in several minutes to about 3 hours.

After the reaction, the desired product can be readily isolated from the reaction mixture, for example, by pouring 60 a poor solvent, such as water, methanol, ethanol, n-propanol, isopropanol or n-butanol, into said mixture or discharging said mixture into a poor solvent such as water, methanol, ethanol, n-propanol, isopropanol or n-butanol. The product can be readily purified in the conventional manner, for 63 example by recrystallization, column separation and/or other appropriate means.

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The compound represented by the general formula (II) can be synthesized, for example, by the method described in JP Kokai H02-229865 etc.

The diformyl compound represented by the general formula (III) can be synthesized, for example, by the method described in Journal of Organic Chemistry, 42, 885–888 (1977) etc. The dianil compound represented by the general formula (IV) can be readily synthesized by reacting the diformyl compound of general formula (III) with aniline 10 hydrochloride.

[Near Infrared Absorber]

The near infrared absorber of the present invention may contain a binder resin in addition to the polymethine compound of general formula (I).

The near infrared absorber may comprise one or more of various known near infrared absorbers in combination with the polymethine compound of general formula (I) within the limits beyond which the object of the present invention cannot be fulfilled.

As the known near infrared absorbers which can be used in combination, there may be mentioned carbon black, aniline black and like pigments, those polymethine dyes (cyanine dyes), phthalocyanine dyes, dithiol metal complex dyes, naphthoquinone and anthraquinone dyes, triphenylmethane (-like) dyes, aluminum, dimmonium dyes and so forth which are described in "Kagaku Kogyo (Chemical Industry)". May, 1986, pages 45-51 under the title "Near infrared absorbing dyes" or in the monograph "Development and Market Trends of Functional Dyes for the Nineties", published by CMC, 1990, Chapter 2, Paragraphs 2 and 3, and, further, azo dyes, indoaniline metal complex dyes, intermolecular CT dyes and other pigments and dyes.

The binder resin is not particularly restricted but includes, among others, homopolymers and copolymers based on acrylic acid, methacrylic acid, acrylic esters, methacrylic esters and other acrylic monomers, methylcellulose, ethylcellulose, cellulose acetate and other cellulosic polymers, polystyrene, vinyl chloride-vinyl acetate copolymers, polyvinylpyrrolidone, polyvinyl butyral, polyvinyl alcohol and other vinyl polymers and copolymers of vinyl compounds, polyesters, polyamides and other condensate polymers, butadiene-styrene copolymers and other rubber-like thermoplastic polymers, and polymers obtained by polymerization and crosslinking of epoxy compounds or like photopolymerizable compounds.

When the near infrared absorber of the present invention is used in optical recording materials such as optical cards, they can be made by applying a solution of the near infrared absorber in an organic solvent to substrates made of glass or a plastic resin, for instance, by any of the various techniques so far explored, for example by spin coating. The resin for use in preparing said substrates is not particularly restricted but includes, among others, acrylic resins, polyethylene resins, vinyl chloride resins, vinylidene chloride resins, polycarbonate resins and the like. The solvent to be used in spin coating is not particularly restricted but includes, among others, hydrocarbons, halogenated hydrocarbons, ethers, ketones, alcohols and cellosolves and, among them, alcohols, such as methanol, ethanol and propanol, and cellosolve solvents, such as methylcellosolve and ethylcellosolve, are particularly preferred.

When the near infrared absorber of the present invention is used in near infrared absorbing filters, infrared blocking materials or films for agricultural use, these can be produced by admixing the near infrared absorber with a plastic resin, if necessary together with an organic solvent, and molding the mixture into sheets or films by any of the various

techniques so far explored, for example by injection molding or casting. The resin to be used is not particularly restricted but includes, among others, acrylic resins, polyethylene resins, vinyl chloride resins, vinylidene chloride resins, polycarbonate resins and the like. The solvent to be 5 used is not particularly restricted but includes, among others, hydrocarbons, halogenated hydrocarbons, ethers, ketones, alcohols and cellosolves and, among them, alcohols, such as methanol, ethanol and propanol, and cellosolve solvents, such as methylcellosolve and ethylcellosolve, are particu-

larly preferred

When the near infrared absorber of the present invention is used in laser thermal transfer recording materials, laser heat-sensitive recording materials and like recording materials, a chromogen component or a colorant component, for instance, may be incorporated in the near infrared absorber, or a layer containing a chromogen component or a colorant component, for instance, may be provided separately. Usable as the chromogen or colorant component are those substances capable of forming images as a result of a physical or chemical change due to heat which have so far 20 been explored in various ways, for example subliming dyes or pigments, electron-donating dye precursors combined with an electron-accepting compound, and polymerizing polymers. Thus, for example, the colorant component in laser thermal transfer recording materials is not particularly 25 restricted but includes inorganic pigments such as titanium dioxide, carbon black, zinc oxide, Prussian blue, cadmium sulfide, iron oxide, and lead, zinc, barium and calcium chromates and organic pigments such as azo, thioindigo, phthalocyanine, quinactidone and other type ones. As dyes, there may be mentioned acid dyes, direct dyes, disperse dyes, oil colors, metal-containing oil colors, and so forth.

The chromogen component for use in laser heat-sensitive recording materials is not particularly restricted but may be 35 any of those conventionally used in heat-sensitive recording materials. As the electron-donating dye precursors, namely substances capable of donating an electron or electrons and accepting a proton or protons from an acid or acids or the like to thereby develop a color, use may be made of those 40 compounds having such a partial skeleton as a lactone, lactam, sultone, spiropiran, ester or amide structure and capable of undergoing ring opening or cleavage of such partial skeleton upon contact with an electron-accepting compound. Thus, for example, there may be mentioned 45 triphenylmethane compounds, fluoran compounds, phenothiazine compounds, indolylphthalide compounds, lucco awamine compounds, modamine lactam compounds, triphenylmethane compounds, triazene compounds, spiropyran compounds and fluorene compounds, among others. As the 50 electron-accepting compound, there may be mentioned phenolic compounds, organic acids or salts thereof, and hydroxybenzoic acid esters, among others.

[Original Plate for Direct Plate Making]

judiciously be used as a near infrared absorber in original plates for direct plate making. The original plates for direct plate making comprise a light-to-heat conversion layer provided on a substrate. A silicone rubber layer and/or a protective layer may be provided on the light-to-heat con- 60 mm, preferably 100 µm to 500 µm. version laver.

The components constituting the light-to-heat conversion layer include, in addition to the polymethine compound of the present invention, an image forming component, a binder resin and so forth. Alternatively, a layer containing an 65 image forming component may be provided on the light-to-

Useful as the image forming component are those which can form images as a result of a physical or chemical change due to heat and which have so far been explored in various ways. Thus, for example, there may be mentioned, without any particular restriction, those containing a microencapsulated heat-fusible substance and a binder resin, among others, as disclosed in JP Kokai H03-108588, those containing a blocked isocyanate, among others, together with an active hydrogen-containing binder on a substrate having a hydrophilic surface as disclosed in JP Kokai \$62-164049, those containing a microcncapsulated lipophilic component and a hydrophilic binder polymer, among others, as disclosed in JP Kokai H07-1849, those containing an acid precursor, a vinyl ether group-containing compound and an alkali-soluble resin, for instance, as disclosed in JP Kokai H08-220752, those containing a hydroxy-containing macromolecular compound and an o-naphthoquinone diazide compound, among others, as disclosed in JP Kokai H09-5993, those containing nitrocellulose, among others, as disclosed in JP Kokai H09-131977, and those containing a polymerization initiator and an ethylenically unsaturated monomer, oligomer or macromonomer, among others, as disclosed in JP Kokai H09-14626. Optionally, a silicone rubber layer may be laid on the light-to-heat conversion layer (photosensitive or heat-sensitive layer) so that said silicone rubber layer may be subjected to firm adhesion or peeling off after exposure to thereby form image areas, as disclosed in JP Kokai H09-80745, JP Kokai H09-131977, JP Kokai H09-146264 and elsewhere.

The binder resin to be used in the light-to-heat conversion anthraquinone, anthanthrone, triphenodioxane, 30 layer is not particularly restricted but includes, among others, homopolymers or copolymers of acrylic acid, methacrylic acid, acrylic esters, methacrylic esters or like acrylic monomers, methylcellulose, ethylcellulose, cellulose acctate and like cellulosic polymers, polystyrene, vinyl chloridevinyl acetate copolymers, polyvinylpyrrolidone, polyvinyl butyral, polyvinyl alcohol and like vinyl polymers and copolymers of vinyl compounds, polyesters, polyamides and like polycondesates, butadiene-styrene copolymers and like rubber-like thermoplastic polymers, and polymers obtained by polymerization and crosslinking of epoxy compounds or like photopolymerizable compounds.

The original plate for plate making as provided by the present invention should be flexible so that it may be set on a conventional printing press and, at the same time, it should be able to endure the pressure applied at the time of printing. Thus, as the substrate or support member to be used, there may be mentioned, among others, paper, plastic-laminated (e.g. polyethylene-, polypropylene, or polystyrenelaminated) paper, sheets of a metal such as aluminum (inclusive of aluminum alloys), zinc or copper, films made of a plastic such as cellulose diacetate, cellulose triacetate, cellulose butyrate, polyethylene terephthalate, polyethylene, polystyrene, polypropylene, polycarbonate or polyvinyl acetal, and the like. Typical among them are coated paper, The polymethine compound of the present invention can 55 sheets of a metal such as aluminum, plastic films such as polyethylene terephthalate films, rubber sheets, and composite materials produced from such materials. Preferred are aluminum sheets, aluminum-containing alloy sheets and plastic films. The substrate has a thickness of 25 µm to 3

The original plate for plate making is produced generally by dissolving or dispersing the polymethine compound, image forming component, binder resin and other necessary components in an organic solvent and applying the solution or dispersion to the substrate.

As the solvent used for said application, there may be

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alcohol, isobutyl alcohol, cyclopentanol, cyclobexanol and diacetone alcohol, cellosolves such as methylcellosolve and ethylcellosolve, aromatics such as toluene, xylene and chlorobenzene, esters such as ethyl acetate, butyl acetate, isoamyl acetate and methyl propionate, ketones such as 5 acetone, methyl ethyl ketone, methyl isobutyl ketone and cyclohexanone, chlorinated by drocarbons such as methylone chloride, chloroform and trichloroethylene, ethers such as tetrahydrofuran and dioxane, and aprotic polar solvents such as N,N-dimethylformamide and N-methylpyrrolidone. 10 g.

Between the substrate and light-to-heat conversion layer, there may be provided a primer layer for the purpose of improving adhesiveness or printability, or the substrate itself may be subjected to surface treatment. Thus, for example, a layer of any of various photosensitive polymres may be 15 cured by exposure to light prior to providing the light-toheat conversion layer, as disclosed in JP Kokai \$60-22903, a layer of an epoxy resin may be heat-cured, as disclosed in JP Kokai S62-50760, a gelatin layer may be hardened, as disclosed in JP Kokai S63-133151 and, further, a urethane 20 resin and a silane coupling agent may be used, as disclosed in JP Kokai H03-200965, or a urethane resin may be used, as disclosed in JP Kokai H03-273248.

As regards the protective layer for surface protection of the light-to-heat conversion layer or silicone rubber layer, 25 transparent films made of polyethylene, polypropylene, polyvinyl chloride, polyvinylidene chloride, polyvinyl alcohol, polyethylene terephthalate or cellophane, for instance, may be used for lamination. Such films may be stretched or oriented prior to application.

EXAMPLES

The following examples illustrate the present invention means limitative of the scope of the present invention.

Example 1

Polymethine Compound (Synthesis of Compound (1))

A compound of general formula (II) $(R_1=R_3=R_4=methy)$, $R_2=H$, D=E=O, $Z_1=I-$) (3.45 g), 0.83 g of a compound of general formula (III) (X=Cl, L=propylene) and 3.36 g of potassium acetate were added to 50 ml of acetic anhydride, and the mixture was stirred at 45-50° C. for 30 minutes and then discharged into 300 ml of a 2% aqueous solution of KI. The resulting crystalline precipitate was collected by filtration, washed with water and recrystallized from isopropanol to give 2.63 g of the compound (1) specifically shown hereinabove.

The elemental analysis data, melting point, absorption maximum wavelength (λmax) and gram extinction coefficient (eg) for or of this compound were as follows:

Elemental analysis (C34H36ClIN2O4): MW=699.0

	С	H	И	
Caled. (%)	58.42	5.19	4.01;	
Found (%)	58.36	5.26	3.96.	

Melting point (° C.): 255° C. (decomp.) Amax: 830 nm (diacetone alcohol solution)

eg: 3.12×10⁵ ml/g-cm

The FT-IR spectrum of the compound obtained is shown

The VIS-NIR absorption spectrum of the compound obtained is shown in FIG. 7.

Example 2

Polymethine Compound (Synthesis of Compound (2))

The compound (2) specifically shown hereinabove was obtained in the same manner as in Example 1 except that 3.18 g of the corresponding compound (II) $(R_1 = R_2 = R_4 =$ methyl, R2=H, D=E=O, Z1=ClO4") was used and that 300 ml of a 2% aqueous solution of KClO4 was used in lieu of 300 ml of the 2% aqueous solution of KI. The yield was 2.56

The elemental analysis data, melting point, absorption maximum wavelength (\lambdamax) and gram extinction coeffcient (eg) for or of this compound were as follows:

Elemental analysis (C34H36Cl2N2O8): MW=671.6

	С	н	N
Caled. (死)	60.81	5.40	4.17;
Found (死)	60.56	5.43	4.22.

Melting point (° C.): 236° C. (decomp.)

Amax: 830 nm (diacetone alcohol solution)

eg: 3.25×10⁵ ml/g⋅cm

The FT-IR spectrum of the compound obtained is shown in FIG. 2.

Example 3

Polymethine Compound (Synthesis of Compound (3))

The compound (3) specifically shown hereinabove was obtained in the same manner as in Example 1 except that more specifically. These examples, however, are by no $_{35}$ 3.05 g of the corresponding compound (II) (R₁=R₃=R₄= methyl, R2=H, D=E=O, Z1=BF4-) was used and that 300 ml of a 2% aqueous solution of KBF4 was used in lieu of 300 ml of the 2% aqueous solution of K7. The yield was 2.41 g.

> The elemental analysis data, melting point, absorption maximum wavelength (Amax) and gram extinction coefficient (eg) for or of this compound were as follows:

Elemental analysis (C₃₄H₃₆BClF₄N₂O₄): MW=658.9

	С	н	И
Calcd. (%)	61.97	5.51	4.25;
Found (%)	61.89	5.55	4.19.

Melting point (° C.) 259° C. (decomp.) max: 830 nm (diacetone alcohol solution)

€g: 3.20×10⁵ ml/g-cm

The FT-IR spectrum of the compound obtained is shown in FIG. 3.

Example 4

Polymethine Compound (Synthesis of Compound (10))

The compound (10) specifically shown hereinabove was obtained in the same manner as in Example 1 except that 3.62 g of the corresponding compound (II) (R_1 = methoxyethyl, $R_3=R_4=$ methyl, $R_2=H$, D=E=O, $Z_1=ClO_4$ was used and that 300 rol of a 2% aqueous solution of KClO4 was used in lieu of 300 ml of the 2% aqueous solution of KI. The yield was 2.72 g.

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The elemental analysis data, melting point, absorption maximum wavelength (emax) and gram extinction coefficient (eg) for or of this compound were as follows:

Elemental analysis (C₃₈H₄₄Cl₂N₂O₁₀): MW=759.7

	С	я	N
Calcu. (%)	60.08	5.84	3.69;
Found (%)	59.76	5.99	3.84.

Melting point (° C.): 221° C. (decomp.)

Amax: 834 nm (diacetone alcohol solution) eg: 2.70×10⁵ ml/g-cm

The FT-IR spectrum of the compound obtained is shown in FIG. 4.

The VIS-NIR absorption spectrum of the compound obtained is shown in FIG. 8.

Example 5

Polymethine Compound (Synthesis of Compound (50))

A compound of general formula (II) (R₁=3-sulfopropyl, $R_3 = R_4 = methyl$, $R_2 = H$, D = E = O, $Z_1 = mil$) (3.25 g), 1.80 g of a dianil compound of general formula (IV) (X=C1, L=propylene) and 3.36 g of potassium acetate were added to 25 50 ml of acetic anhydride, and the mixture was stirred at 65-70° C. for 60 minutes, 200 ml of isopropanol was then added, and the resulting mixture was further stirred at the same temperature for 60 minutes. After evaporation to dryness, 100 ml of ethyl acetate was added, and the mixture 30 was stirred at room temperature for an hour. The resulting crystalline precipitate was collected by filtration, washed with 10 ml of ethyl acetate and recrystallized from 100 ml of methanol. The crystals obtained were dissolved in a solution composed of 2 g of sodium acetate, 100 ml of 35 methanol and 100 ml of isopropanol, and the solvents were distilled off at ordinary pressure. The resulting crystalline precipitate was collected by filtration and dried to give 1.24 g of the compound (50) specifically shown hereinabove.

The elemental analysis data, melting point, absorption 40 maximum wavelength (\lambda max) and gram extinction coefficient (\varepsilong) for or of this compound were as follows:

Elemental analysis (C38H42CIN2NaO10S2): MW=809.3

	C	ĮĮ	N
Calcd (%)	56.39	5.23	3.46:
Found (%)	56.17	5.40	3.40.

Melting point (° C.); >260° C.

λmax: 827 nm (methanol solution)

eg: 2.45×105 ml/g-cm

The FT-IR spectrum of the compound obtained is shown in FIG. 5.

The VIS-NIR absorption spectrum of the compound obtained is shown in FIG. 9.

Example 6

Polymethine Compound (Synthesis of Compound (55))

A compound of general formula (II) (R₁=R₃=R₄=methyl, R₂=H, D=E=O, Z₁=ClO₄⁻) (3.18 g). 1.73 g of a compound of general formula (IV) (X=Cl, L=ethylene) and 3.36 g of potassium acetate were added to 50 ml of acetic anhydride, and the mixture was stirred at 45-50° C. for 30 minutes and 65 then discharged into 300 ml of a 2% aqueous solution of

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by filtration, washed with water and recrystallized from isopropanol to give 2.00 g of the compound (55) specifically shown hereinabove.

The elemental analysis data, melting point, absorption 5 maximum wavelength (\lambda max) and gram extinction coefficient (\varepsilon g) for or of this compound were as follows:

Elemental analysis (C₃₃H₃₄Cl₂N₂O₈): MW=657.5

10	····		
	c	H	N
Calcd. (%) Found (%)	60.28 60.20	5.21 5.24	4.26; 4.22,

Melting point (° C.): 225° C. (decomp.) λmax: 857 nm (diacetone alcohol solution)

eg: 3.35×10⁵ ml/g-cm

The FT-IR spectrum of the compound obtained is shown in FIG. 6.

The VIS-NIR absorption spectrum of the compound obtained is shown in FIG. 10.

Example 7

Polymethine Compound (Synthesis of Compound (72))

The compound (72) specifically shown hereinabove was obtained in the same manner as in Example 6 except that 3.62 g of the corresponding compound (II) (R_1 = methoxyethyl, R_3 = R_4 =methyl, R_2 =H, D=E=O, Z_1 = ClO_4 ") was used. The yield was 2.20 g.

The elemental analysis data, melting point, absorption maximum wavelength (λmax) and gram extinction coefficient (εg) for or of this compound were as follows:

Elemental analysis $(C_{37}H_{42}Cl_2N_2O_{10})$; MW=745.6

	¢	н	N
Calcd. (%)	59.60	5.68	3.76;
Found (%)	59.51	5.61	3.70.

Melting point (° C.) 217° C. (decomp.) \(\text{\text{Mmax}: 862 nm (diacetone alcohol solution)} \)
eg: 3.20×10⁵ ml/g·cm

Example 8

Polymethine Compound (Synthesis of Compound (96))

The compound (96) specifically shown hereinabove was obtained in the same manner as in Example 5 except that 3.21 g of the corresponding compound (II) (R_1 =3-sulfopropyl, R_3 = R_4 =methyl, R_2 =H, D=E=methylenc, Z_1 =nil) and 1.73 g of a dianil compound of general formula (IV) (X=Cl, L=ethylene) were used. The yield was 1.30 g.

The elemental analysis data, melting point, absorption maximum wavelength (Amax) and gram extinction coefficient (eg) for or of this compound were as follows:

Elemental analysis (C₄₂H₅₀ClN₂NaO₆S₂): MW=787.4

· _		С	н	N
	Calcd. (%)	62.54	6.14	3.56;
	Found (%)	62.39	6.27	3.51.

Melting point (° C.): >260° C. Amax: 833 nm (methanol solution)

PAGE 25/35 * RCVD AT 7/9/2004 2:30:53 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/3 * DNIS:7466599 * CSID:703 2058050 * DURATION (mm-ss):11-56

45

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Example 9

Production of a Near Infrared Absorber-containing Material A sample was produced by applying, to a polyethylene terephthalate (PET) film having an average thickness of 5 μm, a solution of 10 g of Delpet 80N (acrylic resin; product 5 of Asahi Chemical Industry; as a binder) and 0.2 g of the above compound (1) in 90 g of a toluenc-methyl ethyl ketone (1/1) mixture using a wire bar to give a dry film thickness of about 5 µm.

Laser beams from a single mode semiconductor laser 10 (wavelength: 830 nm) were converged by means of a lens so that a beam diameter of 10 µm might be attained on the surface of said sample. The semiconductor was adjusted so that the power of the laser beam arriving at said surface might be varied within the range of 50 to 200 mW. The 15 sample was thus irradiated with a single pulse at a pulse width of 20 µs. After completion of the irradiation, the sample was observed under the light microscope. When the laser power arriving at the surface was 50 mW, through hole formation with a diameter of about 10 µm was confirmed. 20

Example 10

Production of a Near Infrared Absorber-containing Material

The procedure of Example 9 was followed in the same manner except that 0.2 g of the compound (10) was used in 25 lieu of 0.2 g of the compound (1). The sample after completion of the irradiation was examined under an optical microscope, whereupon through hole formation with a diameter of about 10 µm was confirmed when the laser power arriving at the surface was 50 mW.

Example 11

Making of a Plate for Direct Printing Plate Making (Formation of an Undercoat Layer)

175 µm, there was formed a gelatin layer as a primer layer so that the dry film thickness of said gelatin layer amounted to 0.2 um.

(Formation of a Light-to-Heat Conversion Layer)

A light-to-heat conversion layer was formed by applying 40 a coating composition prepared in accordance with the recipe given below to the above gelatin-coated polyethylene terephthalate film to a dry film thickness of 2 µm.

Compound No. (1)	0.1 weight part
Crisvon 3006LV (polyurethane;	5.0 weight parts
Product of Dainippon lok and Chemicals)	
Solsperse S27000 (product of ICI)	0.4 weight part
Nimeelfulose (containing 30% of n-propanol)	4.2 weight parts
Xylylenediamine (1 mole)-glycidyl methacrylate (4 moles) adduct	2.0 weight parts
Ethyl Muchler's ketone	0.2 weight part
Tetrahydrofuran	90 weight parts
(Formation of a silicons robber layer)	

A silicone rubber layer was formed on the above lightto-heat conversion layer by applying thereto a coating composition prepared in accordance with the recipe given below to a dry film thickness of 2 µm.

c.m-Divinylpolydimethylsiloxane	9.0 weight parts
(degree of polymerization; ca 700)	
(CH ₃) ₃ Si-O-(\$iH(CH ₃)-O-) ₃ -Si(CH ₃) ₃	0.6 weight part
Polydimethylailazana (degree of	A C maints

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-continued

	0.08 weight part 0.07 weight part 55 weight parts
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Writing was made on the fresh printing plate obtained in the above manner, using a semiconductor laser with an oscillation wavelength of 830 nm and a beam diameter of 10 µm. The power on the plate was 110 mW. A printing plate with sharp edges could be formed; the laser recording sensitivity was 200 mJ/cm² and the resolution was 8 µm.

Example 12

Making of a Plate for Direct Printing Plate Making

A plate for direct printing plate making was produced in the same manner as in Example 11 except that 0.1 weight part of the compound (2) was used in lieu of 0.1 weight part of the compound (1).

Writing was made on the fresh printing plate obtained in the above manner, using a semiconductor laser with an oscillation wavelength of 830 nm and a beam diameter of 10 μπ. The power on the plate was 110 mW. A printing plate with sharp edges could be formed; the laser recording sensitivity was 200 mJ/cm² and the resolution was 8 µm.

Example 13

Making of a Plate for Direct Printing Plate Making

A plate for direct printing plate making was produced in the same manner as in Example 11 except that 0.1 weight part of the compound (10) was used in lieu of 0.1 weight part of the compound (1).

On a polyethylene terephthalate film having a thickness of 35 the above manner, using a semiconductor laser with an oscillation wavelength of 830 nm and a beam diameter of 10 μιπ. The power on the plate was 110 mW. A printing plate with sharp edges could be formed, the laser recording sensitivity was 200 mJ/cm² and the resolution was 8 µm.

Comparative Example 1

The procedure of Example 9 was followed in the same manner except that 0.2 g of the polymethine compound having the structural formula shown below, which is described in JP Kokai S63-319191, was used in lieu of 0.2 g of the compound (1). In a light microscopic examination of the sample after completion of the irradiation, no through hole formation was observed even when the laser power 50 arriving at the surface was 100 mW.

Compound A

Comparative Example 2

The procedure of Example 9 was followed in the same 65 manner except that 0.2 g of the polymethine compound having the structural formula shown below, which is described in IP Kokai H02-229865 was used in lieu of 0.7

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50

g of the compound (1). In a light microscopic examination of the sample after completion of the irradiation, no through hole formation was observed even when the laser power arriving at the surface was 100 mW.

an alkoxyalkyl group containing, as a whole, 2 to 8 carbon atoms, a sulfoalkyl group containing 1 to 8 carbon atoms or a carboxyalkyl group containing, as a whole, 2 to 9 carbon atoms.

EFFECTS OF THE INVENTION

The polymethine compound of general formula (I) shows less absorption in the visible region, and the near infrared absorber comprising this compound can be used with advantage in laser thermal transfer recording materials and laser heat-sensitive recording materials having good sensitivity to laser light with a high light-to-heat conversion efficiency and, therefore, enabling high-speed recording. The polymethine compound of general formula (I) is very highly soluble in various solvents used for making the light-to-heat conversion layer of starting plates for direct printing plate making and has good compatibility with various binder resins and other components, facilitating preparation of coating compositions. It can thus form uniform light-to-heat solvents in layers and is particularly suited for use in the manufacture of starting plates for direct printing plate making.

What is claimed is:

A polymethine compound which has the following 35 general formula:

wherein R_1 represents an alkyl group, which may optionally be substituted, R_2 represents a hydrogen atom or a lower 35 alkyl group, R_3 and R_4 each independently represents a lower alkyl group or R_3 and R_4 may combinedly form a cyclic structure, L is an alkylene group which is required for the formation of a cyclic structure and may optionally be substituted, one or more carbon atoms of which cyclic structure may be replaced by some other atom(s) or atomic group(s), D and E each independently represents an oxygen atom or a methylene group, X represents a hydrogen or halogen atom or a substituted amino group, and Z represents a charge-neutralizing ion.

2. A polymethine compound as claimed in claim 1, wherein R. is an alkel group containing 1 to 8 cmbon atoms

 A polymethine compound as claimed in claim 1 wherein L is an alkylene group containing 2 to 4 carbon atoms.

4. A polymethine compound as claimed in claim 1, wherein Z is Cl⁻, Br⁻, l⁻, ClO₄⁻, BF₄⁻, CF₃CO₂⁻, PF₆⁻, SbF₆⁻, CH₃SO₃⁻, a p-toluenesulfonate ion, Na⁺, K⁺ or a triethylammonium ion.

5. A polymethine compound as claimed in claim 1 wherein each of R_3 and R_4 is a methyl group or R_3 and R_4 combinedly form a cyclopentane or cyclohexane group together with the carbon atom to which they are bound.

6. A polymethine compound as claimed in claim 1 wherein X is H, Cl, Br or a diphenylamino group.

7. A method of producing the polymethine compound of claim 1 which comprises subjecting an indolenium compound represented by the general formula (II):

$$R_3HC$$

$$R_3 R_4$$

$$CH_3 (2_1)_{t_1}$$

wherein R₁ represents an alkyl group, which may optionally be substituted, R₂ represents a hydrogen atom or a lower alkyl group, R₃ and R₄ each independently represents a lower alkyl group or R₃ and R₄ may combinedly form a cyclic structure, D and E each independently represents an oxygen atom or a methylene group, Z₁ represents a chargeneutralizing ion and n represents an integer of 0 or 1, and a difformyl compound represented by the general formula (III):

wherein X represents a hydrogen or halogen atom or a substituted amino group and L is an alkylene group which is required for the formation of a cyclic structure and may optionally be substituted, one or more carbon atoms of which cyclic structure may be replaced by some other atom(s) or atomic group(s), or a dianil compound represented by the capacil formula (IV)

PAGE 27/35 * RCVD AT 7/9/2004 2:30:53 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/3 * DNIS:7466599 * CSID:703 2058050 * DURATION (mm-ss):11-56

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(IV) NHPb HCl

substituted amino group and L is an alkylene group which is required for the formation of a cyclic structure and may optionally be substituted, one or more carbon atoms of which cyclic structure may be replaced by some other

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atom(s) or atomic group(s), to condensation reaction in the presence of a fatty acid salt and a dehydrating organic acid.

8. A near infrared absorber which comprises the polymethine compound of claim 1.

9. An original plate for direct plating for printing comprising a light-to-heat conversion layer formed on a substrate, characterized in that said light-to-heat conversion layer contains the polymethine compound of claim 1.

10. A method of making a printing plate which comprises wherein X represents a hydrogen or halogen atom or a 10 irradiating the original plate for direct plating of claim 9 with a laser beam from a light source laser which has a light emission wavelength region within the range of 750 nm to 900 nm.